Office of Research and Sponsored Programs

GRANT Forum
Grant Research Administrators’ Network Team

September 21, 2022
1. Effort Reporting
2. New Policies & Procedures
3. Kuali Testing & Implementation Updates
4. SUMMIT Training & Resources
1. Effort Reporting - ECC

What is Effort Reporting and why is it important?
To be compliant with regulations regarding compensation for personal services, UMass Boston must ensure that salaries and wages charged to sponsored projects are allocable, allowable, reasonable, and consistently applied.

The UMass System uses ECC to provide the required after the fact verification that employee compensation charged to sponsored projects is applied as expected and represents a reasonable approximation of effort committed to those projects.
1. Effort Reporting - ECC

Roles and Responsibilities:

• Principal Investigators (PIs)
  • Verify effort commitments are captured accurately (shown on PIN report)
  • Monitor sponsored project expenditures
  • Certify effort for themselves, and any key employees paid from their sponsored projects (self-certifiers will certify their own effort statements)

• Effort Coordinators (ECs)
  • Assigned by department – every department must have at least one EC
  • Review effort statements prior to effort period opening
  • Are available to answer questions PIs may have about certifying
  • Ensure all effort statements are certified for their departments
  • Review and process effort tasks in ECC

• ORSP Central Administrators (CAs) - Ginny & Tracey
  • Manage the ECC System
  • Send out effort notifications
  • Train PIs and ECs
  • Monitor the ecc@umb.edu email inbox
1. Effort Reporting - ECC

Certification Schedule
• UMass Boston certifies effort annually by academic year
  • Effort Period = September 1 through August 31
  • Certification Period = Timeframe for certifying the previous academic year
• Trainings will be offered in October

2021 – 2022 Academic Year Effort Period
• September 1, 2021 through August 31, 2022

FY23 Certification Period
• Certifies the 2021 – 2022 academic year effort period
• Period opens for certification mid-November 2022
• Period closes mid-December 2022

Now is a great time to review all payroll applied to sponsored projects and make any adjustments that might be needed!
2. New Policies & Procedures

➢ UMB Updates

FY 2023 Fringe Rates

• Email sent to research community 09/14/2022
  PIs, Research Staff and Research Administrators

• Updated Resources including:
  
  • Budget Worksheet
  • Fringe Matrix
  • Institutional Fact Sheet
  • Fringe Rate Justification Language
2. New Policies & Procedures

➢ **Sponsor Updates**

**NSF Proposal and Award Policy & Procedure Guide (PAPPG) 23-1**
Anticipated January 2023

**NSF Fastlane**
*FastLane is targeted to be removed as a submission option from all funding opportunities when the Proposal and Award Policies & Procedures Guide (PAPPG) goes into effect in January 2023*

**New NIH "FORMS-H" Grant Application Forms and Instructions**
January 25th 2023

**NIH 2023 NIH Data Management & Sharing Policy**
January 25th 2023

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**OFFICE OF RESEARCH AND SPONSORED PROGRAMS**
2. New Policies & Procedures

- **UMB/ORSP Updates**

  With the implementation of Kuali PD, opportunity to inject more consistency in key processes

- Internal Deadlines – to allow for the necessary time for appropriate reviews and workflow approvals, hard stop deadlines for budgets/final applications.

- F&A rate – opportunity for campus to align better with best practices for non-federal rates. Waivers will be an exception and have a standardized approval process that must be in place prior to internal deadlines. The following rates are pending provost approval:

<table>
<thead>
<tr>
<th>Sponsor Type</th>
<th>On Campus</th>
<th>Off Campus</th>
</tr>
</thead>
<tbody>
<tr>
<td>Industry and Non-Mass Gov't Agencies</td>
<td>52.5% MTDC</td>
<td>26% MTDC</td>
</tr>
<tr>
<td>Foundations and Non-profits</td>
<td>20% TDC</td>
<td>20% TDC</td>
</tr>
<tr>
<td>Commonwealth of Mass and Local Agencies</td>
<td>26% MTDC</td>
<td>26% MTDC</td>
</tr>
<tr>
<td>Unrestricted</td>
<td>10% TDC</td>
<td>10% TDC</td>
</tr>
</tbody>
</table>

- Cost Sharing – no major changes but will continue to limited to only as required; approvals must be in place prior to internal deadlines.
3. Kuali Testing & Implementation Updates

Phase I Kuali Proposal Development (PD) Implementation
• Kuali Protocol (COI & IACUC) went live in FY22, Kuali PD is the next step
• High level testing is ongoing, and we are incorporating feedback from our focus group – Thank you to those volunteers!
• Kuali PD demo will be featured at the Provost’s meeting with the Deans on October 5
• Training on Kuali PD will be offered in October
• Go Live is targeted for November 2022

Phase II Kuali Proposal Development (PD) Implementation
• S2S – System to System submissions where proposals will be able to be submitted directly to grants.gov through Kuali PD
3. Kuali Testing & Implementation Updates

**Phase I Flowchart with Kuali PD**
- Future sponsored funding lifecycle
- With Kuali PD you can expect greater visibility into the status of your proposals and awards
3. Kuali Testing & Implementation Updates

Preview of the Kuali Dashboard

- The Kuali home Dashboard allows you to track and manage your proposals
3. Kuali Testing & Implementation Updates

Preview of the Kuali Common Tasks Dashboard

- The Kuali Common Tasks Dashboard allows you easy access to commonly used links in Kuali.
3. Kuali Testing & Implementation Updates

Preview of the Create Proposal Page

- Basic proposal information is entered on this page, a new proposal is created once the Save and Continue button is clicked
3. Kuali Testing & Implementation Updates

Preview of a Proposal

- Once a proposal is created, you are then able to navigate through the different components to complete your proposal.
4. SUMMIT Resources & Training

SUMMIT for Sponsored Projects Drop-in Sessions
- Check the Training & Education section of the ORSP website for SUMMIT drop-in sessions

Office of Research & Sponsored Programs
4. SUMMIT Resources & Training

SUMMIT Training Handout – Now Available!

- This is a 27-page PDF that reviews the basics of using the SUMMIT reporting tool to manage your sponsored projects
- Group and one on one training in SUMMIT is available upon request, reach out to Ginny Maki at Virginia.Maki@umb.edu or orsptraining@umb.edu

SUMMIT – Sponsored Activity

Filters on the Sponsored Activity Page
- Here you will see a series of filters to define your search criteria
- Note there are two filter sections, each with their own Apply and Reset buttons, the date range section is in gray

You must click the Apply button for each section separately after you populate the filters in those sections
Future Grant Forum

Topics to Cover & Suggestions
ORSP Leadership

**Matthew Meyer**  
Associate Vice Provost for Research and Director of ORSP

**Shala Bonyun**  
Associate Director of ORSP  
Preaward Support Services

**Rebecca Hanson**  
Assistant Director of ORSP  
Postaward Support Services

**Tracey Poston, PhD**  
Assistant Director of ORSP Research  
Compliance and Integrity

**Virginia Maki**  
Assistant Director of ORSP eRA  
Systems and Training

**Serena Wang**  
Research Core Facilities & Operations Manager of ORSP